



SHEET 1 OF 1

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT & TRADEMARK OFFICE

LIST OF PRIOR ART CITED BY APPLICANT

DOCKET NO : 3499-86      SERIAL NO : 09/680,771  
APPLICANT : Silverman et al.      EXAMINER : Not assigned  
FILING DATE : October 6, 2000      GROUP ART UNIT 2161

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U.S. PATENT DOCUMENTS

<u>*EXAMINER'S INITIALS</u>	<u>DOCUMENT NUMBER</u>	<u>CLASS</u>	<u>SUBCLASS</u>	<u>DATE</u>
<i>jrb</i>	5,915,245			6/22/99

FOREIGN PATENT DOCUMENTS

<u>*EXAMINER'S INITIALS</u>	<u>DOCUMENT NUMBER</u>	<u>DATE</u>	<u>COUNTRY</u>	<u>CLASS</u>	<u>SUBCLASS</u>	<u>TRANSLATION YES</u>	<u>NO</u>
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considered on 11/26/03  
*Jayden Patz*  
EXAMINER, AU 3624

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(INCLUDING AUTHOR, TITLE DATE, PERTINENT PAGES, ETC.)



SHEET 2 OF 2

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\*EXAMINER

"Financial Information Exchange Protocol (FIX)", June 30, 1999, first published March 31, 1999.

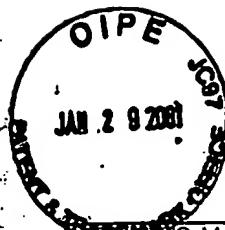
Cover, Robin, "The XML Cover Pages; FIXML – A Markup Language for the FIX Application Message Layer", <<http://www.oasis-open.org/cover/fixml.html>>, last modified March 31, 1999.

INITIALS

EXAMINER:

DATE CONSIDERED: 2-9-06

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



PTO-1449 U.S. Department of Commerce Patent and Trademark Office INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				Serial No. 09/680,771
				Inventors: Silverman et al.
				Docket No. 3499-086

#### U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>Arn</i>		5,918,216	6/29/99	Miksovsky et al.	705	35	8/22/96
<i>Arn</i>		5,918,218	6/29/99	Harris et al.	705	37	9/1/94
<i>Arn</i>		5,774,877	6/30/98	Patterson, Jr. et al.	705	35	9/20/94

#### FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION

#### OTHER DOCUMENTS

EXAMINER INITIAL		AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.

EXAMINER <i>Arnold Hecht</i>	DATE CONSIDERED <i>11-26-01</i>
EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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